### STATE IDENTIFICATION INFORMATION

*States marked with an asterisk have additional information provided at the end of this section.*

<table>
<thead>
<tr>
<th>STATE</th>
<th>FEDERAL TAX IDENTIFICATION NUMBER</th>
<th>MAKE CHECKS PAYABLE TO</th>
<th>REGISTER STOCK TO</th>
</tr>
</thead>
</table>
| **Alabama** | #63-6045055                       | Alabama State Treasury                 | State of Alabama State Treasurer  
DTC instructions can be downloaded from  
www.treasury.state.al.us,  
“Business Reporting Responsibilities” |
| **Alaska**  | #92-6001185                       | Alaska Dept. of Revenue                | Alaska Unclaimed Property                                                          |
| **Arizona** | #16-1588772                       | Arizona Dept. of Revenue               | See Appendix                                                                      |
| **Arkansas**| #71-6006062                       | Arkansas Auditor of State Unclaimed Property Division | Stock may be sent DTC:  
Wachovia Securities Inc  
0030 code 40  
FOA 07P-963699, State of Arkansas Auditor of State Unclaimed Property Division |
<table>
<thead>
<tr>
<th>STATE</th>
<th>FEDERAL TAX IDENTIFICATION NUMBER</th>
<th>MAKE CHECKS PAYABLE TO</th>
<th>REGISTER STOCK TO</th>
</tr>
</thead>
<tbody>
<tr>
<td>California*</td>
<td>#68-0322992*</td>
<td>State Controller State of California Unclaimed Property Division</td>
<td>See Appendix</td>
</tr>
<tr>
<td>Colorado*</td>
<td>#84-6000537 (checks) #04-3075959 (stock)</td>
<td>Colorado Department of the Treasury</td>
<td>See Appendix</td>
</tr>
<tr>
<td>Connecticut*</td>
<td>#06-1354226</td>
<td>Treasurer State of Connecticut</td>
<td>Nutmeg &amp; Co. See Appendix</td>
</tr>
<tr>
<td>Delaware*</td>
<td>#51-6000279</td>
<td>Delaware State Escheator</td>
<td>See Appendix</td>
</tr>
<tr>
<td>District of Columbia*</td>
<td>#53-6001131</td>
<td>D. C. Treasurer</td>
<td>See Appendix</td>
</tr>
<tr>
<td>Florida*</td>
<td>#59-6001874</td>
<td>Florida Department of Financial Services</td>
<td>Florida Department of Financial Services</td>
</tr>
<tr>
<td>STATE</td>
<td>FEDERAL TAX IDENTIFICATION NUMBER</td>
<td>MAKE CHECKS PAYABLE TO</td>
<td>REGISTER STOCK TO</td>
</tr>
<tr>
<td>-------</td>
<td>-----------------------------------</td>
<td>------------------------</td>
<td>-------------------</td>
</tr>
<tr>
<td>Georgia</td>
<td>#58-6002015</td>
<td>Georgia Dept. of Revenue Unclaimed Property Section</td>
<td>Georgia Revenue Commissioner</td>
</tr>
<tr>
<td>Hawaii</td>
<td>#99-0303111</td>
<td>Director of Finance State of Hawaii Unclaimed Property</td>
<td>Director of Finance State of Hawaii Unclaimed Property</td>
</tr>
<tr>
<td>Idaho</td>
<td>#82-0418478</td>
<td>Idaho State Tax Commission</td>
<td>Idaho State Tax Commission</td>
</tr>
<tr>
<td>Illinois</td>
<td>#10-0037001</td>
<td>State Treasurer Unclaimed Property Division</td>
<td>Illinois State Treasurer</td>
</tr>
<tr>
<td>Indiana*</td>
<td>#35-6000158</td>
<td>State of Indiana</td>
<td>See Appendix</td>
</tr>
<tr>
<td>Iowa*</td>
<td>#42-6004579</td>
<td>Treasurer State of Iowa</td>
<td>See Appendix</td>
</tr>
<tr>
<td>Kansas</td>
<td>#48-6029925</td>
<td>Kansas State Treasury</td>
<td>Kansas State Treasurer</td>
</tr>
<tr>
<td>STATE</td>
<td>FEDERAL TAX IDENTIFICATION NUMBER</td>
<td>MAKE CHECKS PAYABLE TO</td>
<td>REGISTER STOCK TO</td>
</tr>
<tr>
<td>-----------</td>
<td>----------------------------------</td>
<td>----------------------------------------------</td>
<td>------------------------------------------</td>
</tr>
<tr>
<td>Kentucky*</td>
<td>#61-0600439</td>
<td>Kentucky State Treasury</td>
<td>See Appendix</td>
</tr>
<tr>
<td>Louisiana</td>
<td>#72-6000839</td>
<td>Louisiana Dept. of Treasury</td>
<td>Division of Unclaimed Property</td>
</tr>
<tr>
<td>Maine*</td>
<td>#01-6000001 (cash)</td>
<td>Maine State Treasurer</td>
<td>See Appendix</td>
</tr>
<tr>
<td></td>
<td>#16-1588201 (stock &amp; mutual funds)</td>
<td>Unclaimed Property Division</td>
<td></td>
</tr>
<tr>
<td>Maryland</td>
<td>#52-6002033</td>
<td>State of Maryland Controller of Treasury</td>
<td>State of Maryland Controller of Treasury</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Unclaimed Property</td>
<td>Unclaimed Property</td>
</tr>
<tr>
<td>Massachusetts</td>
<td>#04-6002284</td>
<td>Treasurer &amp; Receiver General</td>
<td>Investors Bank &amp; Trust</td>
</tr>
<tr>
<td>Michigan*</td>
<td># 38-6000134 (cash)</td>
<td>Michigan Dept of Treasury</td>
<td>See Appendix</td>
</tr>
<tr>
<td></td>
<td># 16-1588751 (securities)</td>
<td>Unclaimed Property Division</td>
<td></td>
</tr>
<tr>
<td>STATE</td>
<td>FEDERAL TAX IDENTIFICATION NUMBER</td>
<td>MAKE CHECKS PAYABLE TO</td>
<td>REGISTER STOCK TO</td>
</tr>
<tr>
<td>------------</td>
<td>----------------------------------</td>
<td>---------------------------------------------</td>
<td>--------------------------------------------------------</td>
</tr>
<tr>
<td>Minnesota*</td>
<td>#41-6007162</td>
<td>Minnesota Department of Commerce</td>
<td>See Appendix</td>
</tr>
<tr>
<td>Mississippi</td>
<td>#64-6000837</td>
<td>State of Mississippi Unclaimed Property</td>
<td>State of Mississippi State Treasurer Unclaimed Property</td>
</tr>
<tr>
<td>Missouri</td>
<td>#43-1645862</td>
<td>Office of Missouri State Treasurer</td>
<td>Office of Missouri State Treasurer</td>
</tr>
<tr>
<td>Montana</td>
<td>#81-0302402</td>
<td>Montana Dept. of Revenue Unclaimed Property</td>
<td>Transfer shares through DTC to Mellon Bank, in nominee name Glory of the West and Co, 83-0367507</td>
</tr>
<tr>
<td>Nebraska</td>
<td>#47-0491233</td>
<td>Nebraska State Treasurer</td>
<td>STANE B</td>
</tr>
<tr>
<td>Nevada</td>
<td>#88-6000022</td>
<td>State of Nevada Unclaimed Property</td>
<td>State of Nevada Unclaimed Property</td>
</tr>
<tr>
<td>STATE</td>
<td>FEDERAL TAX IDENTIFICATION NUMBER</td>
<td>MAKE CHECKS PAYABLE TO</td>
<td>REGISTER STOCK TO</td>
</tr>
<tr>
<td>---------------------</td>
<td>----------------------------------</td>
<td>------------------------------------------------------------</td>
<td>----------------------------</td>
</tr>
</tbody>
</table>
| New Hampshire*      | #02-6000618                     | Treasurer  
State of New Hampshire                                  | See Appendix                |
| New Jersey          | #22-3049292                     | Treasurer  
State of New Jersey                                       | NEARY PENCO                 |
| New Mexico*         | #85-6000565                     | Taxation & Revenue  
Dept. of Unclaimed Property                                   | See Appendix                 |
| New York*           | #14-1743611                     | Comptroller  
State of New York                                              | See Appendix                 |
| North Carolina*     | #56-1545517                     | NC Dept. of State Treasurer  
Escheat Fund                                                      | See Appendix                 |
| North Dakota        | #45-6002470                     | State of North Dakota  
Unclaimed Property Division                                     | State of North Dakota  
Unclaimed Property                                                   |
<table>
<thead>
<tr>
<th>STATE</th>
<th>FEDERAL TAX IDENTIFICATION NUMBER</th>
<th>MAKE CHECKS PAYABLE TO</th>
<th>REGISTER STOCK TO</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Ohio</strong></td>
<td>#31-1409347</td>
<td>State of Ohio Director of Commerce</td>
<td>State of Ohio Director of Commerce</td>
</tr>
<tr>
<td><strong>Oklahoma</strong></td>
<td>#73-6017987</td>
<td>Oklahoma State Treasurer Unclaimed Property</td>
<td>See Appendix</td>
</tr>
<tr>
<td><strong>Oregon</strong></td>
<td>#93-6001772</td>
<td>Division of State Lands</td>
<td>Division of State Lands</td>
</tr>
<tr>
<td><strong>Pennsylvania</strong></td>
<td>#04-3096769</td>
<td>Pennsylvania Treasury Dept.</td>
<td>See Appendix</td>
</tr>
<tr>
<td><strong>Province of Quebec</strong></td>
<td>N/A</td>
<td>Public Curator of Quebec</td>
<td>Public Curator of Province of Quebec</td>
</tr>
</tbody>
</table>
| **Commonwealth of Puerto Rico** | #66-0933481*  
*OCFI’s TIN | Secretary of the Treasury Commonwealth of PR              | NA
Stock and other securities are to be converted to cash prior to escheatment. |
<table>
<thead>
<tr>
<th>STATE</th>
<th>FEDERAL TAX IDENTIFICATION NUMBER</th>
<th>MAKE CHECKS PAYABLE TO</th>
<th>REGISTER STOCK TO</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rhode Island</td>
<td>#05-6000522</td>
<td>General Treasurer</td>
<td>General Treasurer</td>
</tr>
<tr>
<td></td>
<td></td>
<td>State of Rhode Island</td>
<td>State of Rhode Island</td>
</tr>
<tr>
<td>South Carolina</td>
<td>#57-0882454</td>
<td>South Carolina Office of State Treasurer</td>
<td>Brokerage Account – DTC</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Call for specific information</td>
</tr>
<tr>
<td>South Dakota*</td>
<td>#46-6000364</td>
<td>State of South Dakota</td>
<td>See Appendix</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Unclaimed Property</td>
<td></td>
</tr>
<tr>
<td>Tennessee*</td>
<td>#62-6001445 (cash)</td>
<td>State Treasurer of Tennessee</td>
<td>See Appendix</td>
</tr>
<tr>
<td>STATE</td>
<td>FEDERAL TAX IDENTIFICATION NUMBER</td>
<td>MAKE CHECKS PAYABLE TO</td>
<td>REGISTER STOCK TO</td>
</tr>
<tr>
<td>--------------</td>
<td>-----------------------------------</td>
<td>-----------------------------------------------</td>
<td>-----------------------------------------------------------------------------------</td>
</tr>
<tr>
<td><strong>Texas</strong></td>
<td>#74-6000089</td>
<td>Comptroller of Public Accounts</td>
<td>Comptroller of Public Accounts. Please see Appendix for additional instructions.</td>
</tr>
<tr>
<td><strong>Utah</strong></td>
<td>#16-1593411</td>
<td>Utah State Treasurer</td>
<td>See Appendix</td>
</tr>
<tr>
<td><strong>Vermont</strong></td>
<td>#03-6000274</td>
<td>State of Vermont Abandoned Property</td>
<td>State of Vermont Abandoned Property</td>
</tr>
<tr>
<td><strong>Virginia</strong></td>
<td>#54-6001745</td>
<td>Treasurer of Virginia</td>
<td>See Appendix</td>
</tr>
<tr>
<td><strong>Washington</strong></td>
<td>#91-6001118</td>
<td>State of Washington Dept. of Revenue</td>
<td>State of Washington Dept. of Revenue</td>
</tr>
<tr>
<td><strong>West Virginia</strong></td>
<td>#55-6000814</td>
<td>State Treasurer’s Office Division of Unclaimed Property</td>
<td>State Treasurer State of West Virginia DTC Eligible (8/15/99)</td>
</tr>
<tr>
<td>STATE</td>
<td>FEDERAL TAX IDENTIFICATION NUMBER</td>
<td>MAKE CHECKS PAYABLE TO</td>
<td>REGISTER STOCK TO</td>
</tr>
<tr>
<td>-----------</td>
<td>-----------------------------------</td>
<td>------------------------------------------------------------</td>
<td>--------------------------------------------------------</td>
</tr>
<tr>
<td><strong>Wisconsin</strong>*</td>
<td>#39-6006488</td>
<td>Treasurer of State of Wisconsin</td>
<td>See Appendix</td>
</tr>
<tr>
<td><strong>Wyoming</strong></td>
<td>#83-0208667</td>
<td>Wyoming State Treasurer Unclaimed Property Division</td>
<td>Wyoming State Treasurer Unclaimed Property Division</td>
</tr>
</tbody>
</table>
APPENDIX

Arizona

SECURITIES REMITTANCE

Complete the table in section 4 of the Report for those shares being physically remitted with the report. All information must be typed or printed clearly in ink.

Securities must be registered in Arizona’s nominee name of CACTUS & CO.

Holders who participate in DTC (Depository Trust Company) must transfer re-registered securities directly to: DTC Participant #0954; Account #AUZF0168702; Agent Bank 26017; Tax ID #75-3121666.

Forward, via fax to Vilka Markovich (617) 722-9660, notification of securities being transferred at least 24 to 48 hours prior to the transfer. Include in this notification the following: Issue Name; CUSIP #, number of shares and depository number. Include a copy of the fax along with the Unclaimed Property Report you submit to the Unclaimed Property Unit of the Arizona Department of Revenue.

If notification is not received, the transfer will be rejected and returned to the holder.

Dividend Reinvestment Plans will not be accepted in book entry form. Convert each owner’s account to whole shares, and register the whole shares into our nominee name. The report must indicate, for each individual owner, the number of whole shares and the amount of cash in lieu of fractional shares that are due.

Holders who do not participate in DTC must mail the original re-registered securities to: Arizona Department of Revenue, Unclaimed Property Unit, P.O. Box 29026, Phoenix, AZ, 85038-9026. Remit only one (1) certificate for each issue with your report.

Mutual funds must be registered to Arizona Department of Revenue, Unclaimed Property Unit, FEIN 86-6004791, at the time that your report and other cash are mailed to the Department. Enclose a confirmation statement with the report showing that the transfer is complete. Do not redeem shareholders’ accounts and remit proceeds unless otherwise notified. Send statements to:

Arizona Department of Revenue
Unclaimed Property Unit
Attention: Daniel J. Corcoran
PO Box 29026
Phoenix AZ 85038-9026

To view the entire Holder Reporting Package, visit: http://www.revenue.state.az.us/2002/pub601.pdf
California

- The SS-1 (Securities Summary Form) is Required for All Remit Reports
  - Must be completed and attached to the Holder Remit Report for all reported securities - “Securities” includes mutual funds
  - When transferring securities electronically, e-mail the SS-1 at least 48 hours prior to transfer to: ucpdtcss1@sco.ca.gov and calif.statememail@wellsfargoadvisors.com

- Each Owner’s Fractional Shares Must be Liquidated and Reported as Cash
  - Do not total fractional shares for the issue – liquidate each owner’s fractional shares
  - Applies to all issues, not just dividend reinvestment plans
  - ONLY exception is open-end mutual fund shares

- Direct Registration Required for Transfer of Open-End Mutual Fund Shares to the State Controller’s Broker
  - Accounts are to be registered with the following information:
    - NETWORKING MATRIX LEVEL 3
    - Use CASH option for all Div and Cap Gains
    - Registration:
      FIRST CLEARING, LLC
      FBO STATE OF CALIFORNIA
      BIN #63827250
      2801 MARKET STREET
      ST. LOUIS, MO 63103

      Tax ID#: 23-2384840
      Branch#: 80
      DTC#: 0141
      Representative #: 80H7

- When Mutual Fund Accounts Cannot be Held with the State Controller’s Broker
  - Attach written verification to the Remit Report that Wells Fargo Advisors cannot hold the fund
  - Register accounts with the following information:
    - State of California
    - State Controller’s Office
    - PO Box 942850
    - Sacramento, CA 94250-5873
    - Tax ID#: 68-0322976
  - Submit a copy of the mutual fund statement(s) with the Remit Report:
    - State of California
    - State Controller’s Office
    - PO Box 942850
    - Sacramento, CA 94250-5873
Non-Transferable Security Issues Must be Itemized on the Remit Report
- Provide a detailed list of non-transferable security issues and submit with the Remit Report
- Detailed list must include the following:
  - Issue Name, CUSIP #, # of shares and reason they are non-transferable
  - Submit Monthly/Quarterly account statements when non-transferable security issues are held in an SCO holding account. Mail account statements to: State Controller's Office, Unclaimed Property Division, P.O. Box 942850, Sacramento, CA 94250-5873

Change in Information from Notice Report to Remit Report
- Share amount adjustments, corporate actions, CUSIP changes, and other changes often transpire between the time the Notice Report is submitted and the Remit Report is due
- ANY CHANGES must be reflected on the Remit Report
- Information on the Remit Report for individual owners must match what is being remitted

When the State Controller’s Office Does Not Have an Existing Mutual Fund Account in a Particular Family of Funds
- Contact Tim Johnson at Wells Fargo Advisors at (916) 443-4493 to obtain information needed to open a new mutual fund account

NEW! Email Address for Questions Involving Remitting Securities
- Please contact us at: updsecrecon@sco.ca.gov

Report ID Number Must be Included in the DTC Comment Field
- Report ID number is located on the 14f letter you receive from the SCO
- Report ID number must be the first entry in the DTC comment field
- The transfer may be rejected if the Report ID number is not included
Colorado

When remitting bonds/debentures report only the owner’s name, number of shares or units, stock, or a bond issue name and the coupon or bond number on Form B as per instructions and re-register the shares/units to Colorado & Co, fax a list of the securities you will be transferring to ACS at 617-722-9660, then transfer to Depository Trust Company as follows. Attach a copy of the confirmation statement to the report.

<table>
<thead>
<tr>
<th>Certificated Shares:</th>
<th>DRP:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Colorado &amp; CO</td>
<td>Colorado &amp; CO</td>
</tr>
<tr>
<td>#33-1059621</td>
<td>c/o ACS-UP Clearinghouse</td>
</tr>
<tr>
<td>Mellon Security Trust Company</td>
<td>260 Franklin St</td>
</tr>
<tr>
<td>120 Broadway 13th Floor - Teller Window</td>
<td>11th Floor</td>
</tr>
<tr>
<td>New York, NY 10271</td>
<td>Boston, MA 02110</td>
</tr>
<tr>
<td>Attn: Mike Visone</td>
<td>EIN #33-1059621</td>
</tr>
<tr>
<td>Depository Trust Company:</td>
<td>(Send Statement)</td>
</tr>
<tr>
<td>Colorado &amp; CO</td>
<td>Mutual Funds:</td>
</tr>
<tr>
<td>DTC #0954</td>
<td>MAC &amp; Co a/c # AUZF0176702</td>
</tr>
<tr>
<td>Acct #: AUZF0176702</td>
<td>PO Box 3198</td>
</tr>
<tr>
<td>Agent Bank#: 26017</td>
<td>Pittsburgh, PA 15230-3198</td>
</tr>
</tbody>
</table>

Please fax for confirmation of delivery
48 hours in advance to:
617-722-9660 - Attn: Vilka
**Connecticut**

- DTC eligible – DTC #0954
- Tax ID # 562420244
- Acct # AUZF0184702
- Nominee: Nutmeg & CO.

All Others: Mellon Securities Trust Co.
Attention: Teller Window
120 Broadway – 13th Floor
New York, N. Y. 10271

Reference: State of Connecticut
Account # AUZF0184702
Tax ID # 562420244
Mellon Securities Trust Co.
120 Broadway – 13th Floor
New York, N. Y. 10271
Delaware

For DTC Instruction:

DTC # 954
Agent Bank # 26017
Reference: Dover & Co/ Account # AUZF0192702

Two days prior to actual delivery, we request a list of the securities. That list should include cusip numbers, number of shares, issue names, and the delivering party’s DTC participate number. That information should be faxed to ACS Unclaimed Property Clearinghouse at 617-722-9660.

For Physical (Not DTC eligible) Instruction:

Dover & Co
Account # AUZF0192702
Mellon Security Trust Company
120 Broadway
13th Floor – Teller Window
New York, NY 10271
EIN # 43-2016158

Two days prior to actual delivery, we request a list of the securities. That list should include cusip numbers, number of shares, issue names, certificate number, and registration. That information should be faxed to ACS Unclaimed Property Clearinghouse at 617-722-9660.
INSTRUCTIONS FOR REGISTRATION OF STOCKS
We require stock be transferred via DTC or book entry. When stocks are reported, a transfer must be made to the District of Columbia Government. Any physical stock (not eligible for DTC or book entry) must be issued in the District’s nominee name “DCGov. & Co”, under taxpayer ID #16-1674446. The report and the stock certificate/confirmation sheet should be forwarded to the Unclaimed Property Unit.

The DTC/book entry delivery instructions are as follows:

DTC Participant Account# 954
Bank Mellon Bank
Account Number: AUZF0209702
Agent Bank#: 26017
Reference: DCGov & Co/AUZF0209702
Fax List to: Paul Fernandez 617-722-9660

The list should include issue name, cusip number, number of shares and your depository number.

INSTRUCTIONS FOR REGISTRATION OF MUTUAL FUNDS
When reporting Mutual Funds, please be sure to include the complete Fund name, the FEIN Number, CUSIP Number and the Account Number for the Fund.

ACS will provide account numbers for all mutual funds that will be transferred to the state’s account. Please contact Marsela Strakosha at 617-371-9914 to obtain account numbers 48 hours prior to attempting delivery.

Please set up the account so the dividends are reinvested, and also interested party statements are sent to:

ACS Unclaimed Property Clearinghouse
100 Hancock St, 10th floor
North Quincy, MA 02171
Attn. Custody Department

A statement showing the shares in the State’s nominee name (MAC & CO) and Account Number: AUZF0209702 must accompany your Report of Unclaimed Property for your report to be considered complete.

If the fund chooses to establish new account numbers and register mutual funds as indicated below, please provide a detailed list 48 hours in advance to upch.custody@acs-inc.com. This list should contain cusip number, name of the fund, share amount and the account number at the fund.

Note: All new accounts should be set up with Phone Privileges and Dividend Payments to be reinvested. Register Mutual Funds as follows:

MAC & CO
Mutual Fund Operation
P O Box 3198
Pittsburgh, PA 15230-3198
Account # AUZF0209702
FEIN 25-1536944

Closed-End funds should be put in the account for the District of Columbia with the below registration:

Government of the District of Columbia,
Office of Finance and Treasury, Unclaimed Property Unit
1101 4th Street, SW, Suite. W-800B
WASHINGTON, DC 20024
TAX ID: 53-6001131

CUSIP numbers are required for all mutual funds delivered to the District.
Florida

Securities Transfer Instructions
For holders who participate in DTC (Depository Trust Company):

1) Fax a list of securities to be transferred to the Attention of Bureau of Unclaimed Property Asset Management Securities Processing at 850-413-3017.
The list of securities should include the following for each issue being transferred via DTC to the Department’s account.
a) company (holder) for which the transfer is being made,
b) issue name,
c) CUSIP number
d) number of shares

2) Re-register all the securities to be transferred into the name of Florida Department of Financial Services, Bureau of Unclaimed Property

3) Transfer securities to: Smith Barney Inc.
   DTC Participant #: 0418
   C/O Florida Department of Financial Services
   Account #: 448-90002-18 005
   FEIN/TIN: 59-6001874

4) Need assistance? Contact Beverly Avera or Beth Walters of Smith Barney at 229-244-0310.

5) The unclaimed property report filing should also include a delivery list of the securities transferred by DTC by issue name, the date transferred and the number of shares transferred.

For holders who do not participate in DTC (Depository Trust Company):
I. Mutual funds, DRP (Dividend Reinvestment Plan) shares, and book entry shares may either be transferred via DWAC or transferred into an account established by the holder in the name of Florida Department of Financial Services.
   A) To transfer via DWAC:

1) Fax a list of securities to be transferred to the Attention of Bureau of Unclaimed Property Asset Management Securities Processing at 850-413-3017.
The list of securities should include the following for each issue being transferred via DTC to the Department’s account.
a) company (holder) for which the transfer is being made
b) issue name
c) CUSIP number
d) number of shares

2) Re-register all the securities to be transferred into the name of Florida Department of Financial Services, Bureau of Unclaimed Property
3) Transfer securities to: Smith Barney Inc.
   DTC Participant #: 0418
   C/O Florida Department of Financial Services
   Account #: 448-90002-18 005
   FEIN/TIN: 59-6001874

4) Need assistance? Contact Beverly Avera or Beth Walters of Smith Barney at 229-244-0310.

5) The **unclaimed property report filing should** also include a delivery list of the securities transferred by DWAC by issue name, the date transferred and the number of shares transferred.

B) To transfer by establishing an account in the name of Florida Department of Financial Services:

1) Establish an account in the name of Florida Department of Financial Services
   (Prior approval from DFS is not necessary)

2) When filing the report a **copy of the DFS account statement should accompany the report.** The statement should include:
   a) issue name
   b) contact information for issuer
   c) account number
   d) name and address for whom issues are registered
   e) transaction information
Indiana  

Reporting Stock or Mutual Fund Shares

For companies reporting securities and are DTC eligible, the following delivery information is required: (electronic delivery)

   Nominee Name: Hoosiers & Co.
   Agent Bank: 26017
   DTC Participate Number: 0954
   Account Number: AUZF02177002
   EIN Number: 75-3121678

For those companies reporting securities that are NOT DTC eligible, deliver registered certificates to: (physical delivery)

   Nominee Name: Hoosiers & Co.
   Account Number: AUZF02177002
   EIN Number: 75-3121878
   Mellon Security Trust Company
   120 Broadway
   13th Floor – Teller Window
   New York, NY 10271

(Register certificate(s) in Nominee previously indicated.)

Before each delivery of securities, ACS Unclaimed Property Clearinghouse, Inc. requires a fax listing all the property for the preparation of a receipt to Paul Lyons at fax number 617-722-9660. The list must include cusip numbers, units/quantities, certification number and description. A list also must be faxed to the State of Indiana Unclaimed Property Division at fax number 317-232-7979.
DELIVERY OF SECURITIES

TRANSFER OF BOOK-ENTRY ELIGIBLE SHARES:
DTC (Depository Trust Company) #: 954
For: Treasurer of the State of Iowa, Unclaimed Property
Mellon Account Number: IO7F5000002
Agent Bank #: 26017
Institution #: 93752

TRANSFER OF FEDERAL RESERVE BOOK ELIGIBLE SHARES:
Federal Reserve Bank of New York
ABA#:0210-0001-8
BK of NYC/TRUST/IO7F5000002

FOR PHYSICAL DELIVERY OF SECURITIES:
Mellon Securities Trust Co.
One Wall Street
3rd Floor- Receive Window C
New York, NY 10286
Iowa’s Nominee Name: MAC & Co
Federal Tax ID # 25-1536944
Unclaimed Property Account
Account number: IO7F5000002

TO SET UP A DWAC OF SHARES:
Fax the following information to our office (515-281-6962)
Date of DWAC
Number of shares
Description/name of shares with CUSIP

-6-
• Dividend Reinvestment Plan shares (DRP) should be liquidated and a certificate issued for whole shares and cash for any fractional shares.
• For all security reporting, whole shares are to be transferred and any fractions should be cashed out. Please mail checks (with reference to account # IO7F5000002, CUSIP, & number of shares represented) to: MAC & CO
Treasurer State of Iowa
Room 151-0510
One BNYMellon Center
500 Grant Street
Pittsburgh, PA 15258

Iowa Continued on next page…
MUTUAL FUNDS

- Mail all statements, checks and other correspondence to the above address.
- Set the distribution option on all dividends and capital gains to CASH.
- Do not enroll any state account in a dividend reinvestment plan.
- For shares previously held in a dividend reinvestment plan: Liquidate any fractional shares and issue a certificate for all whole shares. Forward the check and certificate to the address above.
- If the Treasurer already has an existing mutual fund account for the new shares being reported, please transfer the new shares to the existing account; do not open a new account.

Mutual Funds must be registered in the name of:
Treasurer State of Iowa
Using Federal Tax ID #
42-6004579
And the following address:
Treasurer State of Iowa
Unclaimed Mutual Funds
Lucas State Office Building
321 E 12th Street
Des Moines, IA 50319
Kentucky
Remittance of Securities

Stock Certificates:
All stock certificates must be registered with the FEIN # 61-0188990 before remitting the securities report to Kentucky Department of Treasury. All detail pages should be included with the holder report files. If you have any questions, feel free to contact the Unclaimed Property Securities staff at 800.465.4722.

Depository Trust Company (DTC) Deposits:
If you are a broker or holder transferring a position through DTC, please fax a listing to the appropriate client liaison at 502.227.7643 at least 48 hours in advance of transfer. The list should include Kentucky Account number (16122533), DTC participant # 780, cusip, and share amount. Also, please fax a copy of your listing to the Kentucky Department of Treasury at 502.564.4200. If you have any questions, please contact Farmers Bank and Capital Trust Company at 502.227.1641, or e-mail them at invest@farmersfrankfort.com.

Visit the following web site to view forms and instructions:
Maine

Remittance of Securities

A DTC (Depository Trust Company) transfer is required for delivering unclaimed securities that are book-entry eligible (BEE). A DTC transfer may be performed by depositing shares to DTC #0954 for credit to account #AUZF023702.

All other securities should be delivered as follows:

**Mutual Funds**
ACS Unclaimed Property Clearinghouse  
260 Franklin St, 11th Floor  
Boston, MA 02110  
ATTN: Mutual Fund Dept.

**Stock Certificates & DTC Dep**
Agent Bank # 26017  
Mellon Security Trust Company  
120 Broadway FL 13  
New York, NY 10271-0002  
ATTN: Mike Visone

All detail pages must be included with the holder report filed with the State Treasurer’s Office. A summary MUST be faxed to 617-722-9660, ATTN: Custody Department, at least two days prior to the deposit or delivery. For more information, please call State Client Liaison at 617-722-9659.

Visit the following web site to view forms and instructions:
http://www.maine.gov/treasurer/property.htm
Michigan

Diskette reporting is the best medium to select if you have securities or securities-related cash to report. Refer to the Manual for Reporting Unclaimed Property for more information.

Securities Registration and Mailing Instruction/Guidelines
for all Holders Reporting Securities

Your securities and your report with related cash are mailed to different addresses. It is very important that you follow these instructions when submitting your report and securities to the Unclaimed Property Division so we can verify their receipt.

Although your securities will be transferred to a different location, they must be transferred at the same time your report and cash are mailed to the Unclaimed Property Division.

STOCKS

A DTC (Depository Trust Company) transfer is required for delivering all DTC eligible securities. A DTC transfer is to be performed by depositing shares to DTC #0954 for credit to account #AUZF0241702, Agent Bank #26017. Where a security is not DTC eligible, and physical certificates are being delivered, the stock certificates must be registered in the State of Michigan's nominee name of "Spartans & Co., Tax ID #30-0187543."

At least one day in advance of a DTC deposit, please fax the following information concerning the deposit to 617-722-9660, Attn: Custody Department. Questions can be directed to the State Client Liaison at 617-722-9674.

State of MI "#AUZF0241702"
Name of Security:
Cusip #:
Your DTC #:
# of Shares:

If you are sending physical certificates, please mail them with your unclaimed property report to:

Michigan Department of Treasury
Unclaimed Property Division
Lansing, MI 48922

If you have any questions, please contact the Compliance Section at (517) 636-5314.

Visit the following web site for further instructions:
Minnesota

DTC BOOK ENTRY INSTRUCTIONS

DTC Number: 2027
Agent Number: 94866
Institution: ___ ___ ___ (This will be your institution number. If not assigned a number, please use 94866.)
Wells Fargo Account Number: 13487002
Wells Fargo Client Account Name: State of Minnesota Unclaimed Property

FED BOOK ENTRY

WF MPLS/TRUST
ABA: 091000019
Wells Fargo Account Number: 13487002
Wells Fargo Client Account Name: State of Minnesota Unclaimed Property

PHYSICAL DELIVERY INSTRUCTIONS

Wells Fargo Bank Minnesota, N.A.
MAC N9306-059
Investors Building – Fifth Floor
Security Control and Transfer
733 Marquette Avenue
Minneapolis, MN 55479
Wells Fargo Account Number: 13487002
Wells Fargo Client Account Name: State of Minnesota Unclaimed Property

WIRE TRANSFER INSTRUCTIONS

Wells Fargo Bank Minnesota, N.A.
ABA: 091000019
BNF = Trust Clearing Mpls
BNFA = 0000840245 (** Please use full 10 digits**)
OBI = FFC to: Wells Fargo Client Account Number: 13487002
Wells Fargo Client Account Name: State of Minnesota Unclaimed Property, and any additional references to assist in wire identification

**********DO NOT DEVIATE FROM THE FORMAT OF THESE INSTRUCTIONS**********
Missouri

Submit security transfers by:

1. Fax pre-notification of electronic transfers of securities to our custodian at:

   Central Bank Investments
   ATTN: Ginni Weavers
   Fax #: (573) 634-1359
   (Include: Issue Name, Number of shares, Date of Transfer, Contact Name, Contact Phone Number)

2. For transfer details please send e-mail to:


3. Register Physical Securities as follows:

   Office of the Missouri State Treasurer
   Unclaimed Property Division
   PO Box 1272
   Jefferson City, MO 65102-1272
   FEIN: 43-1645862
 Instructions for Transfer

NOTE: DTC (Depository Trust Corporation) delivery or transfer of assets is strongly encouraged as the most efficient and cost effective method of reporting/remitting securities. The following information reflects changes from prior years and must be utilized to assure compliance in reporting on or after May 30, 2003. All holders must continue to report to the State of New Hampshire (Form T-1) and include complete identifying information for the apparent owners and the properties transferred to the custodian including copies of certificate or statement where applicable.

STOCKS:

Book Entry/DTC:
For positions being transferred via DTC delivery or book entry use the following information and procedure:

- DTC participant account # 0954
- Old Man & Co. / Account # AUZF0267702
- Agent Bank # 26017

Forward a list of items being transferred to our custodian ACS Unclaimed Property Clearinghouse at least 24-48 hours prior to transfer. Send to: Vilka Markovich at fax number (617) 722-9660. Be sure to include issue name, cusip #, number of shares and your depository #. NOTE: If this step is not completed, the transfer will be rejected and returned to the holder.

Physical Certificates:
Whenever physical share certificates are delivered, the stock must be registered to the State of New Hampshire’s nominee account. For registration of shares use the following information and procedure:

- Nominee: “Old Man & Co.”
- State of NH-Account # AUZF0267702
- Nominee FEIN: 33-1059616
- Mellon Security Trust Company
  120 Broadway
  13th Floor – Teller Window
  New York, NY 10271
  EIN # 33-1059616
- Forward a list of items being re-registered and transferred. Send to ACS Unclaimed Property Clearinghouse, Boston Massachusetts via fax to Vilka Markovich at (617) 722-9660. Be sure to include Issue name, cusip #, registration, number of shares and the certificate number.
Transfer of Mutual Funds or DRP's shall be made to the nominee account and delivered as follows:

- Nominee: “Old Man & Co.”
- State of NH-Account # AUZF0267702
- FEIN: 33-1059616
- ACS Unclaimed Property Clearinghouse
  260 Franklin Street ~ 11th Floor
  Boston, MA 02110
  Attn: Chris Gray

For Further Information Contact:
Treasury Department
Abandoned Property Division
25 Capitol Street Rm. 205
Concord, NH 03301-6312
Tel: (603) 271-2619 or (800) 791-0920 (In State Only)
Fax: (603) 271-2730
E-mail: aptreasury@treasury.state.nh.us
New Mexico

For DTC instruction:

DTC #: 0954
Agent Bank #: 26017
Account #: AUZF0275702
Nominee Name: Land of Enchantment & Co
E.I.N #: 30-0187546

Two days prior to actual delivery, we request a list of the securities. That list should include cusip numbers, number of shares, issue names, and the delivering party’s DTC participate number. That information should be faxed to ACS Unclaimed Property Clearinghouse at 617-722-9660.

For Physical (Not DTC eligible) instruction:

Nominee Name: Land of Enchantment & Co
Account #: AUZF0275702
E.I.N #: 30-0187546
Mellon Security Trust Company
120 Broadway
13th Floor – Teller Window
New York, NY 10271

Two days prior to actual delivery, we request a list of the securities. That list should include cusip numbers, number of shares, issue names, certificate number, and registration. That information should be faxed to ACS Unclaimed Property Clearinghouse at 617-722-9660.
New York
Securities Information

Enter this information for securities escheated to New York State, whether in the form of a physical certificate, Depository Trust Company (DTC) transfer, or book entry.

When you report security related cash such as proceeds of sale, cash-in-lieu, dividends, bond interest, over receipts, etc., the only security data fields you need to complete are the Description of Security and CUSIP Number. The Number of Shares or Denomination and Method of Transfer fields must remain blank.

<table>
<thead>
<tr>
<th>Description of Security</th>
<th>Enter the standard description of the issue, including type of stock, as used by the financial community</th>
</tr>
</thead>
<tbody>
<tr>
<td>CUSIP Number of Security</td>
<td>Enter the nine-digit number assigned to the issue (being reported) by CUSIP, as listed in Standard &amp; Poor’s CUSIP Directory.</td>
</tr>
<tr>
<td>No. of Shares or Denomination</td>
<td>Enter number of shares or denomination being transferred to New York State. For equity issues, enter number of shares; for debt issues, enter dollar equivalent.</td>
</tr>
<tr>
<td>Method of Transfer</td>
<td>Use the code that reflects the method by which the security was transferred: P–Physical certificate D–DTC transfer A–Account (book entry) T–Sent for registration transfer, to be delivered at a later date</td>
</tr>
</tbody>
</table>
North Carolina

Unclaimed securities MUST be processed as follows:

Securities should be sent via DTC to our new custodian, Mellon Security Trust Company (Agent Bank # 26017), DTC Participant # 0954, Reference: Raleigh & Co./Account Number AUZF0283702.

Two days prior to actual delivery, a list of the securities including cusip numbers, number of shares, issue names, certificate numbers, and registration on the certificates should be faxed to ACS Unclaimed Property Clearinghouse at 617-722-9660.

Securities not sent via DTC (physical certificates) must be registered in our nominee name Raleigh & Co., Tax ID # 43-2016043 (EXEMPT status) and should be mailed to:
  Raleigh & Co.
  Account # AUZF0283702
  Mellon Security Trust Company
  120 Broadway
  13th Floor – Teller Window
  New York, NY 10271

In addition to sending the securities directly to Mellon Security Trust Company, you must complete and submit an ASD-215 and an ASD-159 to this office. Do not send the ASD-215 and ASD-159 to the custodian. Reporting forms may be obtained from either our website or by contacting our office.

Mutual Funds must be registered under the name of North Carolina State Treasurer with Tax Id# 56-1545517 (EXEMPT status), and mailed to the address on the bottom of this page. An ASD-215 and an ASD-159 must be completed for mutual funds remitted to this office. Mutual Funds are not mailed to our custodian.

If transfer agents mail certificates to the North Carolina Department of State Treasurer instead of directly to, Mellon Security Trust Company information should be included which indicates the reporting company and year of report being remitted.

If report is postmarked later than May 1 for life insurance companies and November 1 for all other holders, an interest penalty will be assessed. This penalty provided by 116B-77 amounts to 6% per annum of the total amount of reportable property and will be reflected in the receipt issued by this office. Holders may calculate their interest penalty on the verification and checklist form that accompanies this report or this office will compute it. Holders may compute securities and mutual fund values for penalties at date of registration to Raleigh & Co.
Reproduction of this form is authorized, though electronic reporting is highly recommended. Manual reporting forms are available at our Web address: www.treasurer.state.nc.us. Electronic reporting forms are available at: www.wagers.net/hrs/index.htm. A hard copy of owner detail must accompany electronic filings.

Mail signed ASD-215, signed and notarized ASD-159 and certificates, to:

North Carolina Department of State Treasurer
Unclaimed Property Program
325 North Salisbury Street
Raleigh, North Carolina 27603-1385
Telephone: (919) 508-1000
Oklahoma

For all unclaimed securities in certificate form:
   Wells Fargo Institutional Trust and Custody
   Custodian for Oklahoma State Treasurer
   Unclaimed Property Division
   MAC: N9310-060
   Suite 700
   801 Nicollet Mall
   Minneapolis, MN 55479
   ATTN: Russ Segerstrom
Pennsylvania

SECURITIES REPORTING INSTRUCTIONS

The State Treasurer is now required to sell all stocks, bonds and other negotiable financial instruments upon receipt of such items. To assist our department in facilitating the implementation of this legislation, we would like all financial negotiable instruments to be liquidated prior to remittance.

Any fees/commissions associated to the sale of this property should be deducted from the sales proceeds of this transaction. You should remit a net sales amount using the codes on pages 11 and 12. You must provide supporting documentation to this transaction, which clearly identifies the CUSIP number, date sold, number of shares sold, sales price and all fees/commissions paid. Please provide a confirmation of sale with your report.

If your business is unable to liquidate prior to remittance, we ask that you contact the Securities Processing Unit at 1-717-772-1714 for procedures.

You should not submit non transferable securities with your report until they become transferable. However, you should list them in your report. Once the reported non transferable securities become transferable, please liquidate the shares. You must submit the liquidation proceeds to the Bureau of Unclaimed Property along with documentation stating the date they were originally reported as nontransferable.

Please call 1-800-379-3999 before making your ACH or wire transfer.

ACH Transfers
Bank: Wachovia Philadelphia, PA
ABA #: 031000503
Account #: 2000003446481
Title: Commonwealth of PA, Unclaimed Property
Reference: Holder Name/EIN

Wire Transfers of Unclaimed Property
Before drafting a check to remit unclaimed property, you may transfer the amount due via wire transfer to the Commonwealth's concentration account.

The account information is as follows:
Bank: Wachovia, Philadelphia, PA
ABA # 031201467
Account # 2000003446481
Title: Commonwealth of PA, Unclaimed Property
Reference: Holder's Name/EIN
South Dakota

- DTC eligible – DTC #0311
- Tax ID #46-6000364
- US Bank Account #7864-5973
- DTC transfer of securities can be made to the South Dakota’s Office of the State Treasurer by delivering to: Account Name: South Dakota State Treasurer – C/O Unclaimed Property Office, Piper Jaffray

- It is required you notify the South Dakota Division of Unclaimed Property by FAX at (605) 773-3115 of any and all DTC transfers to be performed, including a list of items being transferred, including issue name, CUSIP number, number of shares, and your depository number.

Registration: State of South Dakota
              State Treasurer’s Office
              Unclaimed Property Division
              (Physical Certificates and Mutual Funds)

Physical Delivery: 500 East Capitol Avenue
                  Suite 212
                  Pierre, SD 57501
## Tennessee

### Security Delivery Instructions

<table>
<thead>
<tr>
<th>NOMINEE NAME</th>
<th>TAX ID #</th>
<th>INSTRUCTIONS</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>DTC Eligible Securities</strong></td>
<td>Music City &amp; Co.</td>
<td>30-0187547</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Physical Securities</strong></td>
<td>(Use if DTC is not an option.)</td>
<td>Music City &amp; Co.</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Dividend Reinvestment Plan and Direct Investments</strong></td>
<td>Music City &amp; Co.</td>
<td>30-0187547</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Mutual Funds</strong></td>
<td>Treasurer State of Tennessee Unclaimed Property Division</td>
<td>62-6001445</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Fed Book Entry-Eligible</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Worthless</strong></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Outdated Nominee Name and Federal Tax ID
Review existing dividend reinvestment and mutual fund accounts. Verify that the registration is in a current nominee name and tax ID number. If existing accounts for dividend reinvestment plans or mutual fund accounts are registered in an outdated name or tax ID, register them properly using a current name and tax ID number. Send mutual fund, direct investment or dividend reinvestment plan statements to us directly.
Texas

Electronic Remittance of Securities

The Texas Comptroller’s office prefers that all securities be remitted electronically to the state’s custodian, the Texas Treasury Safekeeping Trust Company.

Before delivering securities electronically, a list of the stock must either be e-mailed to unclaimed.settlements@cpa.state.tx.us or faxed to 512-463-6040, Attn: Settlement & Custody Services Division. The stock listed should be headed with the following information:

- Delivery date
- Remit year
- Remitter's name
- Remitter's tax identification number

The body of the list should be in cusip order and list the following information:

- Issue name
- Issue cusip
- Share quantity per issue

If securities are being delivered via the Depository Trust Corporation (DTC) and the Federal Reserve Bank, please separate and identify on the list. To verify receipt of the fax or to request a read receipt from the e-mail system, call our securities staff at 512-463-1988 or 512-475-4564.

Please note on your report that the shares are being settled electronically through DTC.

<table>
<thead>
<tr>
<th>DTC Delivery Instructions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Participant Account No:</td>
</tr>
<tr>
<td>Participant Name:</td>
</tr>
<tr>
<td>Account No:</td>
</tr>
<tr>
<td>Reference:</td>
</tr>
<tr>
<td>All Deliveries Must be Versus Free Payment</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Federal Reserve Bank Delivery Instructions</th>
</tr>
</thead>
<tbody>
<tr>
<td>ABA#:</td>
</tr>
<tr>
<td>Bank Name:</td>
</tr>
<tr>
<td>SubAccount:</td>
</tr>
<tr>
<td>Reference:</td>
</tr>
<tr>
<td>All Deliveries Must be Versus Free Payment</td>
</tr>
</tbody>
</table>
Physical Delivery of Securities

If you are remitting shares, they must be registered to the Texas Comptroller of Public Accounts. A Securities Inventory Form 53-107 must also be completed for all physical deliveries of securities. The registration requirements are listed below. In addition to your property report, a Holder Report and Payment form 53-119 must be completed.

SECURITIES MAILING INSTRUCTIONS

SECURITIES must be registered to:

TEXAS COMPTROLLER OF PUBLIC ACCOUNTS
Tax ID 74-6000089

Mail your REPORT, SECURITIES, any RELATED CASH, and SECURITIES INVENTORY FORM to:

Texas Comptroller of Public Accounts
Unclaimed Property Holder Reporting Section
PO Box 12019
Austin, Texas 78711-2019
Utah

For DTC & Mutual Fund Shares

DTC # 954
Agent Bank # 26017
Reference: Utah & Co/Account # AUZF0324702
EIN # 33-1059625
ACS Unclaimed Property Clearinghouse
260 Franklin Street
11th Floor
Boston, MA 02110

Two days prior to actual delivery, we request a list of the securities. The list should include cusip numbers, number of shares, issue names, and the delivering party’s DTC participant number. The information should be faxed to ACS Unclaimed Property Clearinghouse at 617-722-9660.
Attn: Chris Gray. Authorized individuals include Michelle Colbert, Nell Jean-Jacques and Chris Gray.

For Physical Stock Certificates

Utah & Co
Agent Bank # 26017
Account # AUZF0324702
EIN # 33-1059625
Mellon Security Trust Company
120 Broadway
13th Floor – Teller Window
New York, NY 10271

Two days prior to actual delivery, we request a list of the securities. The list should include cusip numbers, number of shares, issue names, certificate number, and registration. The information should be faxed to ACS Unclaimed Property Clearinghouse at 617-722-9660.
Attn: Vilka Markovich
Virginia

Reporting and Delivery of Securities Property

MUTUAL FUNDS
When reporting Mutual Funds, please be sure to include the complete Fund name, the FEIN Number, and the CUSIP Number for the Fund. Mutual Fund Shares must be delivered to an account with the below registration, and the confirmation statement must accompany your Report of Unclaimed Property for your report to be considered complete.

REGISTRATION FOR MUTUAL FUNDS:
COMMONWEALTH OF VIRGINIA
DEPARTMENT OF THE TREASURY
DIVISION OF UNCLAIMED PROPERTY
P. O. BOX 2478
RICHMOND, VA 23218-2478
FEIN: 54-6001745

STOCKS, BONDS, AND OTHER SECURITIES CERTIFICATE SHARES:
For all securities reported and delivered in certificate form, the full security name and CUSIP number must be included on your Report of Unclaimed Property. Certificates must be registered as shown below and a photocopy of the certificate delivered with your report. Please be advised that certificates registered incorrectly will be returned to you for re-registration and your report will be considered incomplete.

NON-CERTIFICATE SHARES:
(Dividend Reinvestment Plan Shares, Other Book-Entry Securities):
When reporting non-certificated securities, please be sure to include the issuer’s FEIN Number, the CUSIP Number and the full security name on your Report of Unclaimed Property. Non-certificate Securities must be delivered to an account with the below registration and the confirmation statement must accompany your Report of Unclaimed Property for your report to be considered complete.

REGISTRATION FOR CERTIFICATE AND NON-CERTIFICATE SECURITIES
(OTHER THAN MUTUAL FUNDS):

Old Dominion & CO.
P.O. BOX 2478
RICHMOND, VA 23218-2478
FEIN: 75-3121674
Wisconsin

Report of Unclaimed Securities

PHYSICAL CERTIFICATES
• REGISTER AS “CHEDDAR & CO.” FEIN# 90-0086085

• Mail certificates and Report of Unclaimed Securities to:

    Cheddar & Co
    BNY Melon Securities Trust Co.
    Attn: Mike Visone
    1 Wall St
    Receive Window C 3rd Fl
    New York NY 10005-2500

• Attach a copy of Report of Unclaimed Securities to Holder Verification Report and mail to Wisconsin Unclaimed Property Unit.

MUTUAL FUNDS/DRPs
• REGISTER THE ACCOUNT AS “CHEDDAR & CO.”
  FEIN# 90-0086085
  c/o ACS Unclaimed Property Clearinghouse
  260 Franklin St 11th Fl
  Boston MA 02110
  Attn: Christopher Gray

DEPOSITORY TRUST AND CLEARING CORP (DTC)
• Notify Wisconsin’s stock custodian ACS Unclaimed Property Clearinghouse Inc of proposed delivery at least 48 hours in advance.

• Fax a copy of Report of Unclaimed Securities to Wisconsin ACS account manager at (617) 722-9660.

• All security delivery instructions must contain the following State of Wisconsin account identification numbers: DTC# 0954, Agent Bank# 26017, ACCT# AUZF0366702, Re: CHEDDAR & CO.

• Provide the Wisconsin Unclaimed Property Unit with a copy of the Report of Unclaimed Securities report via US Postal System or facsimile at (608) 261-6799.

NOTE:
1) Securities not registered as CHEDDAR & CO. will be returned to the Holder.
2) WORTHLESS/NONTRANSFERABLE SECURITIES: Do not report worthless or nontransferable securities to this office or our securities custodian. If these securities become transferable or gain value in the future, report and remit the shares to our office at that time. You will not be penalized for late reporting in these situations.